
Code of Ethics Policy

Policy Statement

It is the policy of Cathay General Bancorp and Cathay Bank that all directors, officers, and employees of Cathay General Bancorp and/or its subsidiary, Cathay Bank (together, separately or collectively, as the context may require, the Company) shall:

- Act with honesty and integrity and avoid actual conflicts of interest in personal and professional relationships.
- Provide, or cause to be provided, information that is accurate, complete, relevant, timely, and is understandable to ensure full, fair, accurate, timely, and understandable disclosure in reports and documents that the Company files with or furnishes to the Securities and Exchange Commission and in other public communications.
- Seek to comply with applicable federal, state, and local governmental laws, and the rules and regulations of private and public regulatory agencies having jurisdiction over the Company.
- Act in good faith, responsibly, with due care, and diligence, without misrepresenting material facts or allowing independent judgment to be subordinated.
- Respect the confidentiality of information acquired during the course of work, except when authorized or else legally obligated to disclose such information, and not use such information for personal advantage.
- Share knowledge and maintain those skills that are important and relevant to their constituents' needs.
- Proactively promote ethical behavior among peers and subordinates.
- Achieve responsible use of and control overall assets and resources employed or entrusted to them.
- Comply with the Company's Code of Conduct and Insider Trading Policy (Code of Conduct Policy, and Insider Trading Policy, respectively.)
- Promptly report any possible violation of the Code of Ethics to the Chairman of the Audit Committee. (Refer to Code of Conduct Policy for information on reporting violations).

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Purpose of this Policy	This Code of Ethics is adopted by the Board of Directors of Cathay General Bancorp pursuant to Section 406 of the Sarbanes-Oxley Act of 2002 and the rules promulgated thereunder by the Securities and Exchange Commission. It contains standards reasonably necessary to promote honest and ethical conduct and compliance with applicable laws, rules, and regulations.
Accountability and Consequences of Violations	<p>All directors, officers, and employees of the Company shall be held accountable for adherence to this Code of Ethics. Failure to observe the terms herein may result in the director, officer, or employee being subjected to but not limited to the following:</p> <ul style="list-style-type: none">▪ Disciplinary action up to and including suspension or termination of employment.▪ Pursuant of any and all remedies available to the Company for any damages or harm resulting to the Company from the violation, including injunctive relief.▪ Referral of matters to the appropriate legal, or to the regulatory authorities for investigation and prosecution.
Annual Certifications	<p>Senior Financial Officer</p> <p>On an annual basis, each Senior Financial Officer shall acknowledge and certify in writing his/her compliance with this Code of Ethics.</p> <p>For purposes of this Code of Ethics Policy, “Senior Financial Officer” means and includes the Chief Executive Officer, Chief Operating Officer, Chief Financial Officer, General Counsel, Controller, and the Chief Internal Auditor, or persons performing similar functions, and other officers that hold significant positions of leadership and trust at Cathay General Bancorp and/or its subsidiary, Cathay Bank.</p>
